



*Natives Of Kodiak*

## NATIVES OF KODIAK, INC.

### Inter Vivos Gift of Stock Form

A shareholder who is 18 years of age or older may make a gift of shares *only* to a person who is the shareholder's:

- Child
- Grandchild
- Great-Grandchild
- Niece
- Nephew
- Brother
- Sister

- The recipient must be related to the shareholder by birth or adoption.
- Brothers and sisters include half-brothers and half-sisters but *do not* include stepbrothers or stepsisters.
- The gift can only be made if the stock is not under custodianship, guardianship, or court order for divorce or child support.
- Any gift of stock that you make may have tax consequences; therefore, we suggest you seek the advice of a tax accountant or lawyer before making any transfers.
- NOK stock can only be distributed in whole shares; we no longer allow the splitting of shares to less than 1 (one) share. Already fractionalized shares will not be further divided.
- **The entire Gift of Stock application must be returned, including required additional documentation before the gift transfer can be completed.** The application will be cancelled/voided a year from the date the application was received if entire packet has not yet been received.

## DOCUMENT REQUIREMENTS

### Each recipient must provide the following documents:

- Completed Gift of Stock Form (signed & dated in the presence of a notary)
- Acceptance of Gift of Settlement Common Stock (signed & dated in the presence of a notary)
- W-9 Form (Social Security Number Certification)
- Copy of Birth Certificate(s)
- Name Change Documents (if current name is different from birth certificate)

### Birth Certificate Requirements

#### If recipient of shares is:

Child

Grandchild

#### Then Provide:

Birth certificate of child

Birth certificate of child, and  
Birth certificate of grandchild

Great-Grandchild	Birth Certificate of child, and Birth Certificate of grandchild, and Birth certificate of great-grandchild
Adopted child	Adoption Decree OR birth certificate and adopted birth certificate
Brother/sister	Birth certificate of brother/sister
Niece/Nephew	Birth certificate of brother/sister Birth Certificate of niece/nephew

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**All documents must be returned to:**

Natives of Kodiak, Inc.  
215 Mission Road, Suite 212  
Kodiak, AK 99615

Phone: (907) 486-3606 | Toll-free at 800-648-8462

Email: [shareholders@nativesofkodiak.com](mailto:shareholders@nativesofkodiak.com).



Natives Of Kodiak

**NATIVES OF KODIAK, INC.  
INTER VIVOS  
GIFT OF SETTLEMENT COMMON STOCK AND ACCEPTANCE**

I, \_\_\_\_\_, am making this Affidavit for the purposes of making  
(Shareholder Gifting Stock)  
an Inter Vivos Gift of Natives of Kodiak (NOK) Stock pursuant to the provisions of Section 7(i)(i)(c)(iii) of the Alaska Native Claims Settlement Act. I do hereby give, assign, transfer, convey and set over to the descendant(s) I have named herein, stock in NOK according to the instructions I have provided in this Affidavit.

SHAREHOLDER INFORMATION (Person Gifting Stock)	
<i>Full Name</i>	<i>Shareholder ID Number</i>
<i>Address</i>	<i>Last 4 Digits of SSN</i>
<i>City/State/Zip</i>	<i>Date of Birth</i>
<i>Email</i>	<i>Phone</i>
Number of Recipients I am gifting shares to: _____	

	Number of Shares Owned Before Gifting	Total Number of Shares I am Gifting	Number of Shares I will Own After this Gift
Natives of Kodiak Shares			

RECIPIENT INFORMATION – RECIPIENT 1		
<i>Full Legal Name</i>	<i>Shareholder ID Number</i>	Number of Shares I am gifting to this Recipient:
<i>Address</i>	<i>Last 4 Digits of SSN</i>	
<i>City/State/Zip</i>	<i>Date of Birth</i>	
<i>Email</i>	<i>Home Phone / Cell Phone</i>	
Relationship of Recipient to Shareholder: <input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister		

If Recipient is a minor (under 18 years of age), please designate a custodian:		
<i>Custodian Name</i>	<i>Relationship to Recipient</i>	<i>Shareholder ID # (if a shareholder)</i>
<i>Address</i>	<i>Last 4 Digits of SSN</i>	<i>Date of Birth</i>
<i>City/State/Zip</i>	<i>Home Phone</i>	
<i>Email</i>	<i>Cell Phone</i>	

RECIPIENT INFORMATION – RECIPIENT 2		
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient:
Address	Last 4 Digits of SSN	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:		
<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister		

If Recipient is a minor (under 18 years of age), please designate a custodian:		
Custodian Name	Relationship to Recipient	Shareholder ID # (if a shareholder)
Address	Last 4 Digits of SSN	Date of Birth
City/State/Zip	Home Phone	
Email	Cell Phone	

RECIPIENT INFORMATION – RECIPIENT 3		
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient:
Address	Last 4 Digits of SSN	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:		
<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister		

If Recipient is a minor (under 18 years of age), please designate a custodian:		
Custodian Name	Relationship to Recipient	Shareholder ID # (if a shareholder)
Address	Last 4 Digits of SSN	Date of Birth
City/State/Zip	Home Phone	
Email	Cell Phone	

RECIPIENT INFORMATION – RECIPIENT 4		
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient:
Address	Last 4 Digits of SSN	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:		
<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister		

If Recipient is a minor (under 18 years of age), please designate a custodian:		
Custodian Name	Relationship to Recipient	Shareholder ID # (if a shareholder)
Address	Last 4 Digits of SSN	Date of Birth
City/State/Zip	Home Phone	
Email	Cell Phone	

**Person Gifting Shares Must Sign This Form in the Presence of a Notary**

**AFFIDAVIT – SHAREHOLDER GIFTING STOCK AND NOTARY**

I, \_\_\_\_\_, being first duly sworn, upon oath, do hereby depose and say:

1. I understand that the Alaska Native Claims Settlement Act (43 U.S.C. 1606) permits a shareholder to give a gift of shares to a person who is a Native or a descendant of a Native and is the shareholder's child, grandchild, great-grandchild, niece, nephew, brother or sister. I will provide such other proof of qualification as Natives of Kodiak, Inc. (NOK) may request.
2. I attest that I am at least 18 years of age.
3. I wish to make a gift of shares and/or units to the recipient(s) shown herein.
4. I have designated a custodian for recipients who are minors and I direct that the custodian transfer the shares and/or units to the recipient when the recipient reaches the age of 18.
5. I understand that if there are taxes owed as a result of this transfer, Natives of Kodiak, Inc. will not be responsible for payment, and that payment of any such taxes will be either my responsibility as donor or the recipient's responsibility.
6. I understand that my gift places in the hands of the recipient all authority to transfer these shares and/or units by will or gift; that I will no longer have voting rights for these shares; that I will no longer receive dividends, distributions or other benefits for the shares that I am gifting.
7. I understand that by signing this affidavit, I am swearing under oath to the best of my knowledge and belief that everything stated herein is true, and that I am acting of my own free will and am not under any undue pressure, influence, or duress.
8. I affirm that I have not received anything of value nor was I promised anything of value as compensation or payment for the stock and/or units I wish to transfer.
9. I have read and understand the information provided in this Affidavit.

\_\_\_\_\_  
(Signature of Shareholder Gifting Stock)

DATED this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

STATE OF \_\_\_\_\_)

) ss:

COUNTY/DISTRICT \_\_\_\_\_)

**THIS IS TO CERTIFY** that on this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_,

before me, personally appeared \_\_\_\_\_, to me known and known  
(Shareholder Gifting Stock)

to me to be the identical individual described in and who executed the within and foregoing instrument, and acknowledged to me that he/she had executed the same freely and voluntarily for the uses and purposes therein mentioned.

**WITNESS** my hand and notary seal on the date and year last above written.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_  
My Commission Expires: \_\_\_\_\_





**Recipient Must Sign This Form in the Presence of a Notary**

**ACCEPTANCE BY RECIPIENT – GIFT OF SETTLEMENT COMMON STOCK**

I, \_\_\_\_\_, being first duly sworn, upon oath, do hereby depose and say:  
(Name)

11. I am (circle one) the **RECIPIENT** or **CUSTODIAN** of minor child: \_\_\_\_\_.  
(Child's Name)

I accept the gift of Natives of Kodiak, Inc. shares, including all the rights and responsibilities of being a shareholder of Natives of Kodiak, Inc.

12. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.

13. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.

14. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Natives of Kodiak, Inc., **I will provide to Natives of Kodiak, Inc. a W-9 Form** certifying my social security number before the shares and are issued to me.

15. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodian property I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of \_\_\_\_\_ shares of Natives of Kodiak, Inc. as a gift from \_\_\_\_\_.

I understand that once these shares are transferred to me (or if I am the Custodian, the recipient), I will become a shareholder in the Corporation with all the rights and responsibilities which result from being a shareholder.

\_\_\_\_\_  
(Signature of Recipient of Stock)

DATED this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

STATE OF \_\_\_\_\_ )

) ss:

COUNTY/DISTRICT \_\_\_\_\_ )

**SUBSCRIBED** and **SWORN** to before me, the undersigned Notary Public, this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

\_\_\_\_\_  
Notary Public in and for the State of \_\_\_\_\_

My Commission Expires: \_\_\_\_\_



