



NATIVES OF KODIAK, INC.
Inter Vivos
Gift of Stock Form

Natives Of Kodiak

A shareholder who is 18 years of age or older may make a gift of shares **only** to a person who is the shareholder's:

- Child
- Grandchild
- Great-Grandchild
- Niece
- Nephew
- Brother / Half-Brother
- Sister / Half-Sister

- The recipient must be related to the shareholder by birth or adoption.
- Brothers and sisters include half-brothers and half-sisters but *do not* include stepbrothers or stepsisters.
- The gift can only be made if the stock is not under custodianship, guardianship, or court order for divorce or child support.
- Any gift of stock that you make may have tax consequences; therefore, we suggest you seek the advice of a tax accountant or lawyer before making any transfers.
- NOK stock can only be distributed in whole shares; we no longer allow the splitting of shares to less than 1 (one) share. Already fractionalized shares will not be further divided.
- **The entire Gift of Stock application must be returned, including required additional documentation before the gift transfer can be completed.** The application will be cancelled/voided a year from the date the application was received if entire packet has not yet been received.

DOCUMENT REQUIREMENTS

The following documents must be returned to NOK:

- Completed Gift of Stock Form (signed & dated in the presence of a notary)
- Acceptance of Gift of Settlement Common Stock (signed & dated in the presence of a notary) for each recipient
- W-9 Form (Social Security Number Certification) for each recipient of shares
- Copy of Birth Certificate(s)
- Name Change Documents (if current name is different from birth certificate)

Birth Certificate Requirements:

If recipient of shares is:	Then Provide:
Child	Birth Certificate of child
Grandchild	Birth Certificate of child, and Birth certificate of grandchild

Continued on next page

Great-Grandchild	Birth Certificate of child, grandchild and great-grandchild
Adopted Child	Adoption Decree OR Birth Certificate
Brother/Sister Half-Brother/Half-Sister	Birth Certificate of brother or sister Birth Certificate of half-brother or half-sister
Niece/Nephew	Birth Certificate of brother/sister and Birth Certificate of niece/nephew

Return all required documents to:

Natives of Kodiak, Inc.
215 Mission Road, Suite 212
Kodiak, AK 99615

Phone: (907) 486-3606 | Toll-free at 800-648-8462

Email: shareholders@nativesofkodiak.com



NATIVES OF KODIAK, INC. GIFT OF SETTLEMENT COMMON STOCK AND ACCEPTANCE

Natives Of Kodiak

I, _____, am making this Affidavit for the purposes of making
(Shareholder Gifting Stock)
an Inter Vivos Gift of Stock pursuant to the provisions of the Alaska Native Claims Settlement Act. I do hereby give, assign, transfer, convey and set over to the relatives I have named herein, stock in Natives of Kodiak, Inc. according to the instructions I have provided in this Affidavit.

SHAREHOLDER INFORMATION (Person Gifting Stock)	
Full Name	Shareholder ID Number
Address	Social Security Number
City/State/Zip	Date of Birth
Email	Phone
Number of Recipients I am gifting shares to: _____	

Number of Shares Owned Before Gifting	Total Number of Shares I am Gifting	Number of Shares I will Own After this Gift

RECIPIENT INFORMATION		RECIPIENT 1
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient
Address	Social Security Number	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:	<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister	

RECIPIENT INFORMATION		RECIPIENT 2
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient
Address	Social Security Number	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:	<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister	

RECIPIENT INFORMATION		RECIPIENT 3
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient
Address	Social Security Number	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:	<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister	

RECIPIENT INFORMATION		RECIPIENT 4
Full Legal Name	Shareholder ID Number	Number of Shares I am gifting to this Recipient
Address	Social Security Number	
City/State/Zip	Date of Birth	
Email	Home Phone / Cell Phone	
Relationship of Recipient to Shareholder:	<input type="checkbox"/> Child <input type="checkbox"/> Grandchild <input type="checkbox"/> Great Grandchild <input type="checkbox"/> Niece <input type="checkbox"/> Nephew <input type="checkbox"/> Brother <input type="checkbox"/> Sister <input type="checkbox"/> Half-brother <input type="checkbox"/> Half-sister	

If any Recipient named above is a minor (under age 18), please designate a Custodian for each minor child. Alaska Statute requires a custodian be appointed as the holder of Alaska Native Corporation stock until a child reaches the age of 18.

Minor Child's Name	Custodian Name & Address	Custodian Phone & Email	Relationship

Person Gifting Shares must sign on the next page, in the presence of a Notary.

Person Gifting Shares Must Sign This Form in the Presence of a Notary.

GIFT OF SETTLEMENT COMMON STOCK

I, _____, being first duly sworn, upon oath, do hereby
depose and say:

1. I understand that the Alaska Native Claims Settlement Act (43 U.S.C. 1606) permits a shareholder to give a gift of shares to a person who is a Native or a descendant of a Native and is the shareholder's child, grandchild, great-grandchild, niece, nephew, brother, sister, half-brother or half-sister. I will provide such other proof of qualification as Natives of Kodiak, Inc. (NOK) may request.
2. I attest that I am at least 18 years of age.
3. I wish to make a gift of shares to the recipient(s) shown herein.
4. I have designated a custodian for recipients who are minors and I direct that the custodian transfer the shares to the recipient when the recipient reaches the age of 18.
5. I understand that if there are taxes owed as a result of this transfer, Natives of Kodiak, Inc. will not be responsible for payment, and that payment of any such taxes will be either my responsibility as donor or the recipient's responsibility.
6. I understand that my gift places in the hands of the recipient all authority to transfer these shares by will or gift; that I will no longer have voting rights for these shares; that I will no longer receive dividends, distributions or other benefits for the shares that I am gifting.
7. I understand that by signing this affidavit, I am swearing under oath to the best of my knowledge and belief that everything stated herein is true, and that I am acting of my own free will and am not under any undue pressure, influence, or duress.
8. I affirm that I have not received anything of value nor was I promised anything of value as compensation or payment for the stock I wish to transfer.
9. I have read and understand the information provided in this Affidavit.

(Signature of Shareholder Gifting Stock)

DATED this _____ day of _____, _____

STATE OF _____)

) ss:

COUNTY/DISTRICT _____)

THIS IS TO CERTIFY that on this _____ day of _____, _____,

before me, personally appeared _____, to me known and known to
(Shareholder Gifting Stock)

me to be the identical individual described in and who executed the within and foregoing instrument, and acknowledged to me that he/she had executed the same freely and voluntarily for the uses and purposes therein mentioned.

WITNESS my hand and notary seal on the date and year last above written.

Notary Public in and for the State of _____
My Commission Expires: _____

Recipient (or Custodian) of Shares Must Sign This Form in the Presence of a Notary

ACCEPTANCE BY RECIPIENT – AFFIDAVIT - GIFT OF SETTLEMENT COMMON STOCK

I, _____, being first duly sworn, upon oath, do hereby depose and say:
(Recipient Name)

1. I am (circle one) the **RECIPIENT** or **CUSTODIAN** of minor child: _____
(Custodian Name)

I accept the gift of Natives of Kodiak, Inc. shares, including all the rights and responsibilities of being a shareholder of Natives of Kodiak, Inc.

- 2. I certify that I am (or, if I am the Custodian, the Recipient is) a Native or a descendant of a Native.
- 3. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.
- 4. If I am not (or, if I am the Custodian, the Recipient is not) presently a shareholder of Natives of Kodiak, Inc., **I will provide to Natives of Kodiak, Inc. a W-9 Form** certifying my social security number before the shares are issued to me.
- 5. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodial property I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

I hereby acknowledge receipt of _____ shares of Natives of Kodiak, Inc. stock as a gift from _____.

I understand that once this stock is transferred to me I will (or if I am the Custodian, the recipient will) become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

(Signature of Recipient)

DATED this _____ day of _____, _____

STATE OF _____)
) ss:
COUNTY/DISTRICT _____)

SUBSCRIBED and **SWORN** to before me, the undersigned Notary Public, this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Recipient (or Custodian) of Shares Must Sign This Form in the Presence of a Notary

ACCEPTANCE BY RECIPIENT – AFFIDAVIT - GIFT OF SETTLEMENT COMMON STOCK

I, _____, being first duly sworn, upon oath, do hereby depose and say:
(Recipient Name)

1. I am (circle one) the **RECIPIENT** or **CUSTODIAN** of minor child: _____
(Custodian Name)

I accept the gift of Natives of Kodiak, Inc. shares, including all the rights and responsibilities of being a shareholder of Natives of Kodiak, Inc.

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5. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodial property I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

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I understand that once this stock is transferred to me I will (or if I am the Custodian, the recipient will) become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

(Signature of Recipient)

DATED this _____ day of _____, _____

STATE OF _____)
) ss:
COUNTY/DISTRICT _____)

SUBSCRIBED and **SWORN** to before me, the undersigned Notary Public, this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Recipient (or Custodian) of Shares Must Sign This Form in the Presence of a Notary

ACCEPTANCE BY RECIPIENT – AFFIDAVIT - GIFT OF SETTLEMENT COMMON STOCK

I, _____, being first duly sworn, upon oath, do hereby depose and say:
(Recipient Name)

1. I am (circle one) the **RECIPIENT** or **CUSTODIAN** of minor child: _____
(Custodian Name)

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- 3. I have not (and, if I am the Custodian, neither I nor the recipient has) given or promised any consideration or anything of value in exchange for the making of this gift.
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- 5. If I am the Custodian, I consent to my appointment as Custodian for the Recipient, without compensation, and agree that I will not use any money or other benefit received by me on behalf of the Recipient for my own benefit, except as reimbursement for reasonable expenses actually incurred for the support, maintenance, education and benefit of the Recipient. I understand that in dealing with custodial property I must observe the standard of care that would be observed by a prudent person dealing with property of another, that custodial property must be kept separate and distinct from all other property in a manner sufficient to identify it clearly as custodial property of the minor, and that a custodian may not receive compensation except upon approval by the superior court for unusual and extraordinary services. I will comply with all provisions of the Alaska Uniform Transfers to Minors Act, AS 13.46.

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I understand that once this stock is transferred to me I will (or if I am the Custodian, the recipient will) become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

(Signature of Recipient)

DATED this _____ day of _____, _____

STATE OF _____)
) ss:
COUNTY/DISTRICT _____)

SUBSCRIBED and **SWORN** to before me, the undersigned Notary Public, this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Recipient (or Custodian) of Shares Must Sign This Form in the Presence of a Notary

ACCEPTANCE BY RECIPIENT – AFFIDAVIT - GIFT OF SETTLEMENT COMMON STOCK

I, _____, being first duly sworn, upon oath, do hereby depose and say:
(Recipient Name)

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(Custodian Name)

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I understand that once this stock is transferred to me I will (or if I am the Custodian, the recipient will) become a shareholder in the Corporation, with all the rights and responsibilities which result from being a shareholder.

(Signature of Recipient)

DATED this _____ day of _____, _____

STATE OF _____)
) ss:
COUNTY/DISTRICT _____)

SUBSCRIBED and **SWORN** to before me, the undersigned Notary Public, this _____ day of _____, _____.

Notary Public in and for the State of _____
My Commission Expires: _____

Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	
	<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	
	<input type="checkbox"/> Other (see instructions) ► _____	
	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>	
5 Address (number, street, and apt. or suite no.) See instructions.		
6 City, state, and ZIP code		
7 List account number(s) here (optional)		
Requester's name and address (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
			-				-		
or									
Employer identification number									
			-						

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ► _____	Date ► _____
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.